

## PART B - FEE(S) TRANSMITTAL

Complete and send this form, together with applicable fee(s), to: Mail

Mail Stop ISSUE FEE  
 Commissioner for Patents  
 P.O. Box 1450  
 Alexandria, Virginia 22313-1450  
 or Fax (703) 746-4000

INSTRUCTIONS: This form should be used for transmitting the ISSUE FEE and PUBLICATION FEE (if required). Blocks 1 through 5 should be completed where appropriate. All further correspondence including the Patent, advance orders and notification of maintenance fees will be mailed to the current correspondence address as indicated unless corrected below or directed otherwise in Block 1, by (a) specifying a new correspondence address; and/or (b) indicating a separate "FEE ADDRESS" for maintenance fee notifications.

CURRENT CORRESPONDENCE ADDRESS (Note: Use Block 1 for any change of address)

7590

10/05/2004

Supervisor, Patent Prosecution Services  
 PIPER MARBURY RUDNICK & WOLFE LLP  
 1200 Nineteenth Street, N.W.  
 Washington, DC 20036-2412

01/06/2005 HLE444 00000110 09987367

01 FC:1501 1400.00 OP  
 02 FC:1504 300.00 OP



Note: A certificate of mailing can only be used for domestic mailings of the Fee(s) Transmittal. This certificate cannot be used for any other accompanying papers. Each additional paper, such as an assignment or formal drawing, must have its own certificate of mailing or transmission.

## Certificate of Mailing or Transmission

I hereby certify that this Fee(s) Transmittal is being deposited with the United States Postal Service with sufficient postage for first class mail in an envelope addressed to the Mail Stop ISSUE FEE address above, or being facsimile transmitted to the USPTO (703) 746-4000, on the date indicated below.

(Depositor's name)
(Signatures)
(Date)

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/987,367	11/14/2001	Kazuo Kawaguchi	5988-035-27	6769

TITLE OF INVENTION: ANTI-REFLECTION COATING FORMING COMPOSITION

APPLN. TYPE	SMALL ENTITY	ISSUE FEE	PUBLICATION FEE	TOTAL FEE(S) DUE	DATE DUE
nonprovisional	NO	<del>\$1370</del> 1400	\$300	<del>\$1670</del> 1700	01/05/2005
EXAMINER	ART UNIT	CLASS-SUBCLASS			
CAIN, EDWARD J	1714	524-553000			

1. Change of correspondence address or indication of "Fee Address" (37 CFR 1.363).

- ☐ Change of correspondence address (or Change of Correspondence Address form PTO/SB/122) attached.  
☐ "Fee Address" indication (or "Fee Address" Indication form PTO/SB/47; Rev 03-02 or more recent) attached. Use of a Customer Number is required.

2. For printing on the patent front page, list

- (1) the names of up to 3 registered patent attorneys or agents OR, alternatively,  
 (2) the name of a single firm (having as a member a registered attorney or agent) and the names of up to 2 registered patent attorneys or agents. If no name is listed, no name will be printed.

1. DLA Piper Rudnick  
 2. Gray Cary U.S. LLP  
 3. Steven B. Kelber

3. ASSIGNEE NAME AND RESIDENCE DATA TO BE PRINTED ON THE PATENT (print or type)

PLEASE NOTE: Unless an assignee is identified below, no assignee data will appear on the patent. If an assignee is identified below, the document has been filed for recordation as set forth in 37 CFR 3.11. Completion of this form is NOT a substitute for filing an assignment.

(A) NAME OF ASSIGNEE

(B) RESIDENCE: (CITY and STATE OR COUNTRY)

JSR Corporation

Tokyo, Japan

Please check the appropriate assignee category or categories (will not be printed on the patent): ☐ Individual ☒ Corporation or other private group entity ☐ Government

4a. The following fee(s) are enclosed:

- ☒ Issue Fee  
☒ Publication Fee (No small entity discount permitted)  
☐ Advance Order - # of Copies \_\_\_\_\_

4b. Payment of Fee(s):

- ☒ A check in the amount of the fee(s) is enclosed.  
☐ Payment by credit card. Form PTO-2038 is attached.  
☒ The Director is hereby authorized by charge the required fee(s), or credit any overpayment, to Deposit Account Number 50-1442 (enclose an extra copy of this form).

5. Change in Entity Status (from status indicated above)

- ☐ a. Applicant claims SMALL ENTITY status. See 37 CFR 1.27. ☐ b. Applicant is no longer claiming SMALL ENTITY status. See 37 CFR 1.27(g)(2).

The Director of the USPTO is requested to apply the Issue Fee and Publication Fee (if any) or to re-apply any previously paid issue fee to the application identified above.  
 NOTE: The Issue Fee and Publication Fee (if required) will not be accepted from anyone other than the applicant, a registered attorney or agent, or the assignee or other party in interest as shown by the records of the United States Patent and Trademark Office.

Authorized Signature

Date January 5, 2005

Typed or printed name Christopher W. Raimund

Registration No. 47,258

This collection of information is required by 37 CFR 1.311. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, Virginia 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450.

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**DLA PIPER RUDNICK GRAY CARY U.S. LLP**

1200 NINETEENTH STREET, NW  
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TELEPHONE: 202-861-3900  
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DOCKET NO.: 5988-035-27

ASSISTANT COMMISSIONER FOR PATENTS  
PO BOX 1450  
ALEXANDRIA, VA 22313-1450

Re: Serial No.: 09/987,367  
Applicant(s): Kazuo KAWAGUCHI, et al.  
Filing Date: November 14, 2001  
For: ANTI-REFLECTION COATING FORMING COMPOSITION  
Group Art Unit: 1714  
Examiner: Edward J. Cain

SIR:

Attached hereto for filing are the following papers:

Fee Transmittal  
Issue and Publication Fees Transmittal

Our check in the amount of \$1,700.00 is attached covering any required fees. In the event any variance exists between the amount enclosed and the Patent Office charges for filing the above-noted documents, including any fees required under 37 C.F.R. 1.136 for any necessary extension of time to make the filing of the attached documents timely, please charge or credit the difference to Deposit Account No. 50-1442. Further, if these papers are not considered timely filed, then a request is hereby made under 37 C.F.R. 1.136 for the necessary extension of time. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

DLA PIPER RUDNICK GRAY CARY U.S. LLP

Steven B. Kelber  
Attorney of Record  
Registration No.: 30,073


Christopher W. Raimund  
Registration No.: 47,258

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JAN 05 2009  
SC98  
BATES

<p>1. <input type="checkbox"/> Applicant claims small entity status.</p> <p><input checked="" type="checkbox"/> Charge any <b><u>UNDERPAYMENT</u></b> or credit any <b><u>OVERPAYMENT</u></b> in the indicated fees to Deposit Account No. 50-1442.</p> <p><input type="checkbox"/> Charge the indicated fees to Deposit Account No. 50-1442.</p>	<b>FEE CALCULATION (continued)</b>		
	<b>3. ADDITIONAL FEES</b>		
	Large Entity	Small Entity	Fee Description

1. BASIC FILING FEE	1053	130	1053	130	Non-English Specification	
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<b>2. EXTRA CLAIM FEES</b>										8001	3	8001	3	Advance Copy of Patent
tot. claims		-	20*	=	0	x	\$50	=	0	1806	180	1806	180	IDS Submission
ind. claims		-	3*	=	0	x	\$200	=	0	8021	40	8021	40	Assignment Recordation
<input type="checkbox"/>	Multiple Dependent Claims						\$360	=		1801	790	2801	395	For Filing RCE
<b>SUBTOTAL</b>									0	1814	130	2814	65	Terminal Disclaimer

Name	Steven B. Kelber	Registration No.		30,073	
Signature		Date	January 5, 2005	Telephone	202-861-3900
Name	Christopher W. Raimund	Registration No.		47,258	